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## AGENDA FOR THE AUDIT COMMITTEE AND AUDIT COMMITTEE (ADVISORY)

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A meeting of the Audit Committee and Audit Committee (Advisory) will be held in Committee Room 4, Town Hall, Upper Street, N1 2UD on, **19 June 2014 at 7.30 pm.**

**John Lynch**  
**Head of Democratic Services**

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Despatched : 11 June 2014

### Membership

### Substitute Members

Committee membership is to be confirmed at the Annual Council meeting on the 12 June 2014.

Independent Member: David Bennett.

**Quorum: is 3 Councillors**



| <b>A. Formal Matters</b>             | <b>Page</b> |
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| 1. Apologies for Absence             |             |
| 2. Declaration of substitute members |             |
| 3. Declarations of interest          |             |

If you have a **Disclosable Pecuniary Interest\*** in an item of business:

- if it is not yet on the council's register, you **must** declare both the existence and details of it at the start of the meeting or when it becomes apparent;
- you may **choose** to declare a Disclosable Pecuniary Interest that is already in the register in the interests of openness and transparency.

In both the above cases, you **must** leave the room without participating in discussion of the item.

If you have a **personal** interest in an item of business **and** you intend to speak or vote on the item you **must** declare both the existence and details of it at the start of the meeting or when it becomes apparent but you **may** participate in the discussion and vote on the item.

**\*(a)Employment, etc** - Any employment, office, trade, profession or vocation carried on for profit or gain.

**(b) Sponsorship** - Any payment or other financial benefit in respect of your expenses in carrying out duties as a member, or of your election; including from a trade union.

**(c) Contracts** - Any current contract for goods, services or works, between you or your partner (or a body in which one of you has a beneficial interest) and the council.

**(d) Land** - Any beneficial interest in land which is within the council's area.

**(e) Licences-** Any licence to occupy land in the council's area for a month or longer.

**(f) Corporate tenancies** - Any tenancy between the council and a body in which you or your partner have a beneficial interest.

**(g) Securities** - Any beneficial interest in securities of a body which has a place of business or land in the council's area, if the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body or of any one class of its issued share capital.

This applies to **all** members present at the meeting.

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| 4. Minutes of previous meeting                                  | 1 - 2       |
| <b>B. Items for Decision - Audit Committee</b>                  | <b>Page</b> |
| 5. Terms of Reference of Audit and Audit (Advisory) Committee   | 3 - 8       |
| 6. Appointment of Pensions and Personnel Sub-Committees 2014/15 | 9 - 16      |

| <b>C. Items for Decision - Audit (Advisory) Committee</b> | <b>Page</b> |
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| 7. External Audit Progress Report                         | 17 - 34     |
| 8. Annual Internal Audit Report for 2013/14               | 35 - 54     |
| 9. Draft Annual Audit Plan 2014/15                        | 55 - 68     |

**D. Urgent Non Exempt Items**

Any non-exempt items which the Chair agrees should be considered urgently by reason of special circumstances. The reasons for urgency will be agreed by the Chair and recorded in the minutes.

**E. Exclusion of press and public**

To consider whether, in view of the nature of the remaining item on the agenda, it is likely to involve the disclosure of exempt or confidential information within the terms of the Access to Information procedure rules in the Constitution and, if so, whether to exclude the press and public during discussion thereof.

**F. Confidential/exempt items** **Page**

**G. Urgent Exempt Items (if any)**

Any exempt items which the Chair agrees should be considered urgently by reason of special circumstances. The reasons for urgency will be agreed by the Chair and recorded in the minutes.

The next meeting of the Audit Committee and Audit Committee (Advisory) will be on 29 September 2014